

Research and Consultancy Promotion Policy

Introduction

The principal discipline clusters in GD Goenka University are medical and allied sciences, basic and applied sciences, agricultural sciences, Humanities & social sciences, communication and media, management, engineering, teacher education, law, hospitality, design and architecture. Research activities take place across the University either in the form of doctoral research, competitively funded projects, contract research projects, projects in collaboration with international partners, research dissemination such as publications and patent applications and registrations, Masters & Bachelors research dissertations and other activities undertaken by faculty and students.

The University encourages, supports and incentivizes academic staff and students to engage intensely in research, research dissemination and teaching-learning engagements that are informed by research.

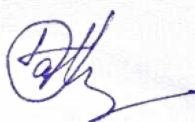
Purpose

The purpose of the Policy for Research Promotion is to promote high quality research in the University. It provides direction to the research endeavors of all stakeholders. The University supports academic staff develop high quality research proposals and tender for nationally and internationally competitive research grants and contract research projects funded by business corporations, not-for-profit organisations, industry associations and charitable organisations. It also works with its international partners in research and research dissemination activities.

The University's research endeavors are through doctoral projects, competitive and contract research projects, research dissemination and research patents filed by faculty, staff and students and it fosters a research culture through provision of training and incentives.

Objectives

- a) To provide excellent research environment and infrastructure



- b) Translate new knowledge, innovations, technologies and tools emerging out of research conducted into products and processes for commercialization or for societal benefit
- c) To establish Research centres within University with potential for excellence
- d) To forge interdisciplinary collaborations and partnerships
- e) To encourage faculty and students for ethical and quality publications
- f) To encourage applications for competitive funded research grants
- g) To develop mutually beneficial research and development projects with industrial collaborations
- h) To encourage the faculty members for creating, protecting and leveraging Intellectual Property Rights
- i) Create transparent, effective and efficient systems for maximizing research outputs
- j) Integrate research activities undertaken by the undergraduate , post-graduate and doctoral students with the research focus of the University in alignment with the national thrust areas
- k) Create, maintain and enhance infrastructure to enable conduct of state-of the-art research
- l) Provide a framework for conduct of research in a transparent, socially responsible and ethical manner
- m) Create a rational, transparent and efficient system for management of all research processes
- n) Encourage and facilitate research collaborations within different Institutes of the University along with reputed Institutes, Universities and Research Organizations both in India and abroad
- o) and Enhance the research profile of the University by effective dissemination of research activities and achievements of the University at all levels to maximize the impact and recognition of research done at national and international levels

Scope of the Policy

This document will be applicable to all faculty, staff and students involved in any form of research activity at the University or outside.

Responsibility

The 'University Research and Innovation Council' (URIC) oversees and directs the research activities at the University. URIC will have an advisory role, and Standing Committee of the URIC will be responsible for regular monitoring of all research activities.

Policy Guidelines

1. **Faculty** members are eligible to seek financial and in-kind support for research, consultancy and external engagement. Research endeavors are recognized as part of faculty workload.

1.1. Research endeavors would be included in assessing the workload and contributions of faculty members. Research outcomes (such as success in grant applications, organizing research forums, scholarly publications in appropriately tiered academic journals, organizing research training activities etc) and professional contributions that would improve the University's esteem (editorship in appropriately tiered scholarly journals, appointments in national and international scholarly committees, membership in editorial board of appropriately tiered journals etc.) would be an integral component of performance reviews and promotions.

1.2. Both in-house and external training is offered to develop the research and research dissemination competencies and capabilities of faculty.

1.3 Academic staff is offered support to organize Doctoral colloquia, engagement in scholar research advisory committees, participation in doctoral research committees, and support for participating in appropriately tiered national and international conferences, and engaging with international partners in research endeavours.

1.4 The University also encourages continuous learning through professional

development, conference and FDP participation and advanced study. **(Annexure 1).**

1.5. Faculty are encouraged to undertake consultancy work and thereby develop linkages with industry. The University has instituted a Consultancy Policy whereby the Faculty undertaking research assignments that do not fall under the category of clash of interest, shall be, with prior permission from the HoI, permitted to take up consultancy assignments for which, they will be eligible to claim 67% of the total consultancy amount.

1.6 Individual faculty or groups of faculty Members are encouraged to seek competitive grants from various funding agencies and are supported in this endeavor by the office of Dean R&D **(Annexure 2).**

1.7. Faculty that pursue PhD at the University are accorded tuition fee discount.

2. Research Scholars: The University conducts research seminars, conference and colloquia and the participation of the research scholars is encouraged in both in-house as well as external events. The University also aides the scholars in accessing the laboratory and library facilities of government and non-government organizations, in addition to usage of the University's facilities.

2.1. Through it's policy for Doctoral and Post doctoral teaching fellowships **(Annexure 3)** endeavors to provides various engagement opportunities to research scholars during and post their PhD research work.

2.2. The University periodically organizes guest lectures by senior research professionals from various domains.

2.3. Scholars enrolled for PhD programs are guided by Supervisors. Progress is monitored by the 'Scholar Research Advisory Committee' as well as the 'Doctoral Research Committee'. All necessary support is provided to the scholars for completion of their research work.

3. **General Policies:** Following policies are applicable to faculty as well as research scholars.

3.1. Code of Ethics (**Annexure 4**) is applicable to faculty as well as scholars pursuing research at the University. Academic integrity requires that academic research follows professional standards including appropriate research design and frameworks, conforms to practices that govern research ethics and abides by the requirements set out by professional and regulatory research guidance and research ethics frameworks issued in appropriate areas. Policy for Academic integrity & Plagiarism is applicable for all faculty, employees and students (Annexure 5)

3.2. The IPR policy of GD Goenka University GDGU has been formulated to:

- Proactively create an environment that promotes, encourages and support research and innovation
 - Promote Intellectual Property awareness among students, faculty members, non-teaching staff and researchers.
 - Develop procedures for data mining, identification, commercial utilization, licensing, and other means of disseminating the technologies of IP developed in the various research, consultancy, educational, and all other academic activities of GDGU.
 - Safeguard the interest of intellectual property creators and provide fair distribution of returns accruing from the commercialization of IPR.
 - Provide legal support, wherever necessary, to defend and to protect the intellectual property obtained by GDGU against any infringement or unauthorized use.
 - Provide a transparent administrative system for the ownership, control and transfer of the intellectual property created and owned by the University.
 - University's Intellectual Property Rights cell shall support Filing of Patents, patent searches patent specification drafting, patent filings and related works, Trademarks, Copyrights and shall periodically conduct Awareness Programmes for faculty, students, research scholars, and R&D institutions,
 - SMEs, large establishments, etc. If the patent is commercialized the earning is to be shared between researcher and the University on an 70:30 basis. The University shall create awareness about intellectual property rights among faculty, researchers and scholars from time to time.
- 3.3. International Partnerships with reputed Institutions across several countries helps in fostering research capability and the University encourages collaborative research dissemination

with its partner institutions.

3.4. The University encourages collaborative engagement in research through jointly hosting research conferences, grant applications and publications with various institutions and agencies.

3.5. In order to develop research orientation of students at undergraduate and graduate levels, students' are taken through several research intensive courses and are required to submit project reports and dissertations that are graded. Their participation in conferences and seminars is also encouraged.

3.6. The University plans to establish innovation and incubation centers in order to network with multiple stakeholders like Industry, R&D Labs and Government agencies for the purpose of leveraging innovation, entrepreneurship and outreach.

3.7. The University would create an environment for each department wherein all the faculties work in a specific research area. This will facilitate specific research activities such that the unit will progressively evolve into a Centre of Excellence in due course for the specialized thrust area. The University shall develop Excellence centres in the frontier areas of research which shall be open to faculty, students and industry persons to conduct research.

3.8. The University shall, from time to time, announce appointment of endowed chairs and professorships, including those established by gift received from a trust or otherwise to honour extraordinary academic achievement and to recruit and retain the most distinguished scholars. An endowed chair is a perquisite that is supported by income from an endowment fund established by a gift or gifts from private or public sources and is made available to a distinguished faculty member in support of his/her teaching, research, and service activities. An endowed chair may be assigned to a department, organized research unit, division, or school. Appointment of Endowed Chair is subject to approval by the Academic Council.

3.9. For encouraging outstanding research publications and recognizing contributions of select faculty in their respective domains of research, the University, through its policy for 'Incentives & award for Publications (Annexure 6) recognizes scholarly contributions and incentivizes outstanding research contributions and publications. A stipulated number of high quality publications shall be, upon the recommendation of a committee, incentivized and Faculty with the highest number of quality international publications, will be awarded.

3.10. For encouraging young Faculty members to develop a research orientation and induct them into the University's research intensive culture, the University has a policy for 'Seeding Grant' (Annexure 7). The seeding grant aims to support research expense for early career researchers to undertake exploratory research that could position them to submit proposals for nationally and internationally competitive grants.

